MOLECULAR MECHANISMS UNDERLYING IGF-I-INDUCED ATTENUATION OF THE GROWTH-INHIBITORY ACTIVITY OF TRASTUZUMAB (HERCEPTIN) ON SKBR3 BREAST CANCER CELLS

Yuhong Lu¹, Xiaolin ZI² and Michael POLLAK^{1*}

¹Division of Experimental Medicine, McGill University, Montreal, Quebec, Canada

²Chao Family Comprehensive Cancer Center/Department of Urology, University of California at Irvine, Irvine, CA, USA

The clinical usefulness of trastuzumab (Herceptin; Genentech, San Francisco, CA) in breast cancer treatment is limited by the rapid development of resistance. We previously reported that IGF-I signaling confers resistance to the growth-inhibitory actions of trastuzumab in a model system, but the underlying molecular mechanism remains unknown. We used SKBR3/neo cells (expressing few IGF-I receptors) and SKBR3/IGF-IR cells (overexpressing IGF-I receptor) as our experimental model. IGF-I antagonized the trastuzumab-induced increase in the level of the Cdk inhibitor $p27^{Kip1}.$ This resulted in decreased association of $p27^{Kip1}$ with Cdk2, restoration of Cdk2 activity and attenuation of cellcycle arrest in G_1 phase, all of which had been induced by trastuzumab treatment in SKBR3/IGF-IR cells. We also found that the decrease in p27Kip1 induced by IGF-I was found that the decrease in $p27^{Kip1}$ induced by IGF-I was accompanied by an increase in expression of Skp2, which is a ubiquitin ligase for $p27^{Kip1}$, and by increased Skp2 association with $p27^{Kip1}$. A specific proteasome inhibitor (LLnL) com-pletely blocked the ability of IGF-I to reduce the $p27^{Kip1}$ protein level, while IGF-I increased $p27^{Kip1}$ ubiquitination. This suggests that the action of IGF-I in conferring resistance to trastuzumab involves targeting of $p27^{Kip1}$ to the ubiquitin/ proteasome degradation machinery. Finally, specific inhibi-tors of MAPK and PI3K suggest that the IGF-I-mediated tors of MAPK and PI3K suggest that the IGF-I-mediated reduction in p27^{Kip1} protein level by increased degradation predominantly involves the PI3K pathway. Our results provide an example of resistance to an antineoplastic therapy that targets one tyrosine kinase receptor by increased signal transduction through an alternative pathway in a complex regulatory network.

Key words: *HER2/neu; IGF-I receptor; trastuzumab; p27^{Kip1}; Skp2; phosphatidylinositol-3-kinase*

HER2/neu, a member of the ErbB receptor family, is involved in development, cell proliferation, differentiation and oncogenesis.^{1–3} Approximately one-third of breast cancers overexpress HER2/neu, and this overexpression contributes to epithelial cell transformation and predicts poor prognosis for breast cancer patients.^{4–6} Trastuzumab (Herceptin; Genentech, San Francisco, CA) is a humanized blocking antibody against the HER2/neu receptor. While regarded as an example of a successful rational design drug based on identification of a novel molecular target,^{7–9} its efficacy is limited because resistance develops rapidly in virtually all treated patients.^{8,9}

We previously reported¹⁰ that IGF-I signaling is associated with resistance to the growth-inhibitory actions of trastuzumab. Although the potential clinical relevance of our observation has been pointed out,¹¹ there are few data concerning the underlying mechanisms. IGF-I regulates both the expression and activity of many cell cycle–related proteins, including upregulation of cyclins and cyclin-associated Cdk activity and downregulation of p27^{Kip1}.^{12–15} The growth-inhibitory activity of trastuzumab was also reported to involve p27^{Kip1}.^{16–18} Together, these observations suggest interaction between IGF-I receptor signaling and trastuzumab at the level of the cell-cycle regulators.

Our current understanding of IGF-I signaling has been reviewed.^{15,19,20} The IGF-I receptor initiates a strong proliferative and antiapoptotic signal.^{20–23} Binding of IGF-I to the IGF-I receptor results in autophosphorylation of the receptor, leading to recruitment and phosphorylation of Shc and IRS-1 adaptor protein. This action of IGF-I results in activation of the Ras/Raf/MAPK pathway and/or the PI3K pathway, which influence cell proliferation and survival.^{24,25}

Regulation of cell proliferation by cyclins and Cdks has also been reviewed.^{14,26,27} The cell-cycle machinery is positively regulated by cyclins and Cdks and inhibited by Cdk inhibitors. p27^{Kip1}, a Cdk inhibitor, plays an important role in the control of cell proliferation, specifically in the G₁–S transition, where it inhibits cyclin E/Cdk2 and cyclin A/Cdk2 activities.^{26–28} While p27^{Kip1} mRNA levels appear to be constant throughout the cell cycle,²⁹ the p27^{Kip1} protein level is decreased by mitogenic stimuli, allowing Cdk2 activation and cell-cycle progression into the S phase.^{14,26,27,29,30} The protein level of p27^{Kip1} is posttranscriptionally regulated through the ubiquitin/proteasome signaling pathway during progression from G₁ to S.^{29–32} Reduced p27^{Kip1} protein expression correlates with HER2/neu overexpression in breast cancer cells, and activation of HER2/neu leads to ubiquitin-mediated p27^{Kip1} protein degradation.^{33,34}

In the present study, we explored the molecular mechanisms by which IGF-IR signaling attenuates trastuzumab-induced growth inhibition of breast cancer cells. We found that the mechanisms involve IGF-I-mediated upregulation of ubiquitin-related $p27^{Kip1}$ degradation through increased expression of Skp2, the receptor component of an SCF ubiquitin ligase complex. This results in restoration of Cdk2 activity and attenuation of G₁ arrest. Furthermore, this action of IGF-IR signaling was due to activation of the PI3K signaling pathway.

MATERIAL AND METHODS

All cell culture material was from Invitrogen (Carlsbad, CA). Trastuzumab was purchased from the Oncology Pharmacy of the Jewish General Hospital (Montreal, Canada). PD98059, LY294002, propidium iodide, LLnL and transferrin were from Sigma (St. Louis, MO). Histone H1 was from Pharmingen (Mississauga, ON).

Abbreviations: Cdk, cyclin-dependent kinase; DTT, dithiothreitol; ECL, enhanced chemiluminescence; IGFBP-3, IGF binding protein-3; IGF-IR, IGF-I receptor; LLnL, *N*-acetyl-leucinyl-leucinylnorleucinal-H; MAPK, mitogen-activated protein kinase; PI3K, phosphatidylinositol-3-kinase; RIPA, radioimmunoprecipitation assay; SCF, Skp-cullin-F-box protein; SFM, serum-free medium.

 $[\gamma^{-32}P]$ ATP was from Amersham (Piscataway, NJ). IGFBP-3 and IGF-I were from Protigen (Mountain View, CA). Protein A-agarose, anti-IGF-IR β , anti-c-myc, anti- $p27^{Kip1}$ (C-19), anti- Cdk2 (M2), anti-Skp2, anti- α -tubulin and anti- α -ubiquitin were purchased from Santa Cruz Biotechnology (Santa Cruz, CA). Anti- $p27^{Kip1}$ (Ab-1) was from Neomarkers (Fremont, CA). Anti-phosphotyrosine-specific antibody was from Upstate Biotechnology (Lake Placid, NY). Anti-Skp2 was from Zymed (South San Francisco, CA). Anti-phospho-MAPK, anti-total MAPK, anti-phospho-AKT and anti-AKT were from New England Biolabs (Mississauga, Ontario).

Cell lines

SKBR3/neo and SKBR3/IGF-IR are human breast cancer cell lines transfected with pcDNA3.1(+) and pcDNA3.1(+)/IGF-IR, respectively; and their characterization was described in our previous paper.¹⁰

Cell synchronization

To make SKBR3/neo and SKBR3/IGF-IR cells quiescent, they were seeded at a density of 8×10^3 /cm² in the presence of serum. After 24 hr, cells were washed with PBS solution and incubated in SFM (McCoy's 5A supplemented with 40 µg/ml transferrin). Cells became quiescent after 72 hr in SFM, and medium was renewed every 24 hr.

Flow cytometry

SKBR3/neo and SKBR3/IGF-IR cells were plated in 100 mm dishes in the presence of 10% serum for 24 hr. When 30% confluent, cells were synchronized in serum-free conditions for 24 hr and either treated with 10 μ g/ml trastuzumab or not for a further 24 hr. IGF-I was added at 40 ng/ml for a further 2, 4, 8, 16 or 24 hr incubation. Cells were then washed twice with ice-cold PBS solution and fixed in 70% ethanol at -20°C overnight. Cells were washed twice with ice-cold PBS solution again and resuspended in propidium iodide buffer [PBS (pH 7.4), 0.1%Triton-X 100, 0.1 mM EDTA (pH 7.4), 0.05 mg/ml RNase A, 50 μ g/ml propidium iodide]. After 30 min of incubation at room temperature, the cell-cycle distribution was analyzed using a FACSCalibur flow cytometer (BD Biosciences, Burlington, MA). Triplicate experiments yielded similar results.

Trastuzumab and IGF-I treatment

SKBR3/neo and SKBR3/IGF-IR cells were passaged in Mc-Coy's 5A medium supplemented with 10% FBS and 800 µg/ml G418 at 37°C and 5% CO₂. For studies assessing the effects of exposure to IGF-I and IGFBP-3 on IGF-IR activation in SKBR3/ neo and SKBR3/IGF-IR cells, 70-80% confluent cultures were washed twice with ice-cold PBS solution and then cultured in SFM for 24 hr. During the last 15 min of culture, cells were treated with vehicle, 100 ng/ml IGF-I alone or 1 µg/ml IGFBP-3 at 37°C. Monolayers were quickly washed twice with ice-cold PBS solution and lysed with 0.4 ml of lysis buffer [10mM TRIS-HCl (pH 7.4), 150 mM NaCl, 1% Triton X-100, 1 mM EDTA, 1 mM EGTA, 0.2 mM sodium vanadate, 0.2 mM PMSF, 0.5% NP-40 and 0.2 units/ml aprotinin]. For studies assessing the effect of IGF-I on regulating c-myc, quiescent SKBR3/neo and SKBR3/IGF-IR cells were stimulated by 40 ng/ml IGF-I for 1, 4, 8, 16 or 24 hr. After treatment, cells were lysed in RIPA buffer (0.1 mM dibasic sodium phosphate, 1.7 mM monobasic sodium phosphate, 150 mM NaCl, 1% NP-49, 0.5% sodium deoxycholate, 0.1% SDS, 0.2 mM sodium vanadate, 0.2 mM PMSF, 0.2 units/ml aprotinin). For studies assessing the effect of IGF-I on the trastuzumab-induced increase in p27Kip1, SKBR3/neo and SKBR3/IGF-IR cells were plated in the presence of 10% serum for 24 hr. When 60% confluent, cells were treated with or without 10 µg/ml trastuzumab in SFM for 16 hr. Either 40 ng/ml IGF-I or IGF-I plus 1 µg/ml IGFBP-3 were added to cells for a further 12 hr. After treatment, cells were lysed in RIPA buffer. For studies assessing the effect of the proteasome inhibitor LLnL on IGF-I-induced p27Kip1 degradation, SKBR3/ neo and SKBR3/IGF-IR cells were plated in the presence of 10%



FIGURE 1 – Increasing IGF-IR expression in SKBR3 cells increases c-myc response. (*a*) IGF-IR phosphorylation of SKBR3/neo and SKBR3/IGF-IR cells in the presence or absence of IGF-I and IG-FBP-3. Maximal receptor activation is seen in the SKBR3/IGF-IR cells in the presence of IGF-I; this is nearly abolished in the presence of IGFBP-3. (*b*) Effects of IGF-I on inducing c-myc in quiescent SKBR3/neo and SKBR3/IGF-IR cells. Time points (in hours) are shown.

serum for 24 hr. When 60% confluent, cells were treated with or without 10 μ g/ml trastuzumab in SFM for 16 hr. Either 40 ng/ml IGF-I or IGF-I plus 50 μ g/ml LLnL were added to cells for a further 4, 8, 12 and 16 hr. After treatment, cells were lysed in RIPA buffer. For studies assessing the effects of 50 μ m PD98059 and 15 μ m LY294002 blockage of IGF-I-induced MAPK and AKT phosphorylation, respectively, SKBR3/neo and SKBR3/IGF-IR cells were plated in the presence of 10% serum for 24 hr. When 60% confluent, cells were treated with 10 μ g/ml trastuzumab plus PD98059 or LY294002 in SFM for 16 hr. IGF-I (40 ng/ml) was added to cells for a further 8 hr. After treatment, cells were lysed in RIPA buffer.

Western blots

Clarified protein lysates from each experimental condition $(20-60 \ \mu g)$ were electrophoretically resolved on denaturing SDSpolyacrylamide gels (8–12%), transferred to nitrocellulose membranes and probed with the following primary antibodies: anti-cmyc, anti-p27^{Kip1}, anti-Skp2, anti- α -tubulin, anti-phospho-MAPK, anti-MAPK, anti-phospoAKT, anti-AKT. Proteins were revealed using horseradish peroxidase–conjugated antimouse or antirabbit antibodies.

Immunoprecipitation

Clarified protein lysates (200–300 μ g/ml) were precleared with 25 μ l of protein A-agarose and then precipitated with 2 μ g of anti-IGF-IR β , anti-Cdk2, anti-Skp2 or anti-p27^{Kip1} antibody and 25 μ l of protein A-agarose overnight at 4°C. The next day, beads were collected by centrifugation and washed with lysis buffer. Samples were denatured with 25 μ l of 2 × SDS-PAGE sample buffer and subjected to SDS-PAGE on a 12% gel. After separated proteins were transferred to membranes, membranes were probed with an



FIGURE 2 – IGF-I rescues SKBR3/IGF-IR cells from trastuzumab-induced G_1 arrest. After SKBR3/neo and SKBR3/IGF-IR cells were synchronized in SFM for 24 hr and treated or not with trastuzumab (10 µg/ml) for a further 24 hr, 40 ng/ml IGF-I were added and the cells collected at the times A SKBR3/neo $(10 \mu g/ml)$ for a further 24 hr, 40 ng/ml IGF-I were added and the cells collected at the times B SKBR3/neo $(10 \mu g/ml)$ for a further 24 hr, 40 ng/ml IGF-I were added and the cells collected at the times



С	Trastuzumab	Trastuzumab/IGF-I
	WB: P27Kip1	
10.00		
_	WB: α-Tubulin	
-		
т 0	0 1 4 8 16 24	1 4 8 16 24
C SKBI	R3/IGF-IR	
с	Trastuzumab	Trastuzumab/IGF-I
	WB: P27Kip1	
- 10		
	WB: a-tubulin	

FIGURE 3 – IGF-I antagonizes trastuzumab-induced increase in $p27^{Kip1}$ in SKBR3/IGF-IR cells. (*a*) After SKBR3/neo and SKBR3/IGF-IR cells were treated or not with trastuzumab (10 µg/ml) in SFM for 16 hr, IGF-I (40 ng/ml) alone or with IGFBP-3 (1 µg/ml) were added for a further 12 hr in SFM. $p27^{Kip1}$ and α -tubulin expression were determined by Western blotting analysis. (*b*,*c*) Time course study of IGF-I on regulation of $p27^{Kip1}$ expression in SKBR3/neo (*b*) and SKBR3/IGF-IR (*c*) cells. SKBR3/neo or SKBR3/IGF-IR cells were treated with trastuzumab as in (*a*), and 40 ng/ml IGF-I were added; cell lysate was collected at the times indicated thereafter (in hours) for Western blotting.

Т

0 0

1 4

8 16 24 1 4 8 16 24



FIGURE 4 – IGF-I releases $p27^{Kip1}$ from Cdk2 and restores Cdk2 activity inhibited by trastuzumab in SKBR3/IGF-IR cells. After SKBR3/ neo and SKBR3/IGF-IR cells were treated or not with trastuzumab (10 µg/ml) in SFM for 16 hr, IGF-I (40 ng/ml) was added alone or with IG-FBP-3 (1 µg/ml) for a further 12 hr in SFM. (*a*) $p27^{Kip1}$ association with Cdk2 complexes was assessed through immunoprecipitation experiments in serum-free conditions. (*b*) Precipitates recovered with antibody to Cdk2 were assayed for histone H1 kinase activity in serum-free conditions.

antiphosphotyrosine-specific antibody, anti-IGF-IR β , anti-Cdk2 antibody, anti-p27^{Kip1} antibody or anti- α -ubiquitin followed by peroxidase-conjugated appropriate secondary antibody and visualization by ECL.

Cdk2 assay

Cdk2 activity was determined as described by Zi *et al.*³⁵ Cells were lysed in RIPA buffer as described above. Clarified protein lysates (200 μ g) were subjected to immunoprecipitation in lysis buffer at 4°C overnight in the presence of anti-Cdk2 antibody and protein A-agarose beads. Phosphorylation of histone H1 was measured by incubating the beads with 40 μ l of "hot" kinase solution {0.25 μ l (2.5 μ g) of histone H1, 0.5 μ l of [γ -³²P]ATP, 0.5 μ l of 0.1 mM ATP and 38.75 μ l of kinase buffer (50 mM TRIS-HCl, pH 7.4, 10 mM MgCl₂ and 1 mM DTT)} for 30 min at 30°C. The reaction was stopped by boiling the samples in SDS buffer for 5 min. Samples were analyzed by 12% SDS-PAGE and the gels dried and subjected to autoradiography.

RESULTS

Increasing IGF-IR expression in SKBR3 cells increases c-myc response

We have previously shown that overexpression and activation of IGF-IR attenuates trastuzumab-induced growth inhibition in breast cancer cells.¹⁰ We used the SKBR3/neo and SKBR3/IGF-IR cell lines to study the tyrosine phosphorylation level of IGF-IR by immunoprecipitation with an anti-IGF-IRβ antibody followed by antiphosphotyrosine immunoblotting. As expected, the highest level of phosphorylated IGF-IR was seen in the SKBR3/IGF-IR cell line in the presence of IGF-I. In the presence of both IGF-I and IGFBP-3, IGF-IR phosphorylation was reduced (Fig. 1*a*). These results provide evidence that IGF-IR is functional in SKBR3/IGF-IR cells but not in SKBR3/neo cells.

Subsequently, we examined the effect of IGF-I in regulating its downstream target in SKBR3/neo and SKBR3/IGF-IR cells. IGF-I

substantially increased c-myc protein level following 1 hr incubation in quiescent SKBR3/IGF-IR cells but had only a small effect in SKBR3/neo cells (Fig. 1*b*).

Activation of IGF-IR releases SKBR3/IGF-IR cells from trastuzumab-induced G_1 arrest

Since the reduction in cell proliferation induced by trastuzumab is associated with an increased proportion of cells in G₁ phase,^{16,17} we examined the role of IGF-IR signaling in rescuing trastuzumabinduced G₁ arrest. After both SKBR3/neo and SKBR3/IGF-IR cells were synchronized in SFM for 24 hr, they were treated or not with 10 µg/ml trastuzumab for a further 24 hr. IGF-I (40 ng/ml) was added, and cell-cycle profiles were analyzed by flow cytometry. Control SKBR3/neo cells were induced to arrest in G₁ phase by trastuzumab treatment. Trastuzumab also induced G₁ arrest in SKBR3/IGF-IR cells in serum-free conditions. However, SKBR3/ IGF-IR cells were rescued from trastuzumab-induced G₁ arrest by IGF-I (Fig. 2). Indeed, SKBR3/IGF-IR cells were pushed to S phase by IGF-I in the presence of trastuzumab. In contrast, IGF-I did not show any effect on cell-cycle regulation in the SKBR3/neo cell line in the presence of trastuzumab (Fig. 2).

IGF-IR activation antagonizes trastuzumab-induced increase in $p27^{Kip1}$

To identify the molecular basis of the cell-cycle regulation by IGF-IR signaling, both SKBR3/neo and SKBR3/IGF-IR cells were treated with 10 μ g/ml trastuzumab in SFM for 16 hr. After incubation with IGF-I alone or IGF-I plus IGFBP-3 for a further 12 hr, cell lysates were obtained for Western blots. The p27^{Kip1} level was increased by trastuzumab treatment in both SKBR3/IGF-IR and SKBR3/neo cells; however, IGF-I attenuated the trastuzumab induced increase in p27^{Kip1} only in SKBR3/IGF-IR cells, and IGFBP-3 restored trastuzumab actions (Fig. 3*a*). The time course of downregulation of p27^{Kip1} by IGF-I in SKBR3/IGF-IR cells is shown in Figure 3*c*. However, IGF-I did not show the same effect in SKBR3/neo cells (Fig. 3*b*).

IGF-IR-mediated attenuation of trastuzumab-induced inhibition of Cdk2 activity is associated with decreased association of $p27^{Kip1}$ with Cdk2

p27^{Kip1} directly inhibits Cdk2 activity, which is crucial for cells to progress through the G₁–S transition. Trastuzumab interference with HER2/neu receptor signaling causes Cdk2 inactivation at least in part by increasing p27^{Kip1} association with Cdk2.¹⁷ We therefore examined whether IGF-I-induced attenuation of trastuzumab action involved modulation of p27^{Kip1}/Cdk2 stoichiometry. Immunoprecipitation experiments showed that trastuzumab increased p27^{Kip1} association with Cdk2 in both SKBR3/IGF-IR cells in serum-free conditions; however, in SKBR3/IGF-IR cells, IGF-I attenuated this association. IGFBP-3 restored the Cdk2–p27^{Kip1} association induced by trastuzumab (Fig. 4*a*). Consistent with Western blot and immunoprecipitation results, Cdk2 activity was markedly decreased by trastuzumab treatment in both SKBR3/IGF-IR cells, as expected; this decrease was blocked by IGF-I action (Fig. 4*b*).

IGF-I regulates $p27^{Kip1}$ protein through enhancement of ubiquitin-mediated degradation

While $p27^{Kip1}$ is downregulated as a consequence of HER2/neu signaling through enhanced ubiquitin-mediated degradation,^{33,34} $p27^{Kip1}$ mRNA appears to be stable during the progression from G₁ to S phase induced by growth factors.²⁹ We investigated the possibility that the downregulation of $p27^{Kip1}$ by IGF-IR activation involves the ubiquitin/proteasome pathway. We observed that trastuzumab decreased levels of the F-box protein Skp2, which is a ubiquitin ligase for $p27^{Kip1}$,^{36–38} but that this decrease was attenuated by IGF-I in SKBR3/IGF-IR cells (Fig. 5*a*). Immuno-precipitation further showed that IGF-I increased the Skp2 and $p27^{Kip1}$ association (Fig. 5*b*). IGF-I did not show the same effects in SKBR3/neo cells (data not shown).

To further characterize the mechanism of stimulation of $p27^{Kip1}$ degradation by IGF-I, we used the highly specific proteasome inhibitor LLnL. As shown in Figure 6*a*, the previously observed IGF-I-induced decrease of $p27^{Kip1}$ was completely blocked in the presence of LLnL. Furthermore, IGF-I increased $p27^{Kip1}$ ubiquitination and the ubiquitinated $p27^{Kip1}$ was markedly increased by blocking the proteasome pathway by LLnL (Fig. 6*b*). These results provide evidence that the IGF-I-induced reduction in $p27^{Kip1}$ level involves the ubiquitination/proteasome pathway.

IGF-I-induced decrease in p27^{Kip1} involves the PI3K pathway

Upon activation by IGF-I binding, the IGF-IR tyrosine kinase leads to downstream activation of major signaling pathways, including the Ras/Raf/MAPK pathway and the PI3K pathway. To investigate the role of these pathways in linking IGF-IR signaling to antagonism of trastuzumab action in our system, we used PD98059 and LY294002, specific inhibitors of MAPK and PI3K, respectively. PD98059 at 50 μ M reduced IGF-I-induced phosphorylation of AKT in SKBR3/IGF-IR cells (Fig. 7*a*). Total levels of MAPK and AKT were not altered by either inhibitor.

Growth factor–induced degradation of p27^{Kip1} proceeds through both the Ras/MAPK and PI3K pathways by multiple posttranslational regulation.^{14,27,39} Therefore, we examined the role of IGF-I stimulation of the Ras/MAPK and PI3K pathways in the attenuation of the increase in p27^{Kip1} induced by trastuzumab. Figure 7*b* shows that MAPK inhibition by PD98059 has a small effect on the basal level of p27^{Kip1} and the trastuzumab-induced increase in p27^{Kip1} and that IGF-I can still diminish the trastuzumab-induced increase in p27^{Kip1} in SKBR3/IGF-IR cells. However, blockade of the PI3K pathway by LY294002 increased the basal level of p27^{Kip1}. IGF-I did not attenuate the trastuzumab-induced increase in the p27^{Kip1} level in the presence of LY294002. This provides evidence for an important role of the PI3K pathway in the action



FIGURE 5 – IGF-I-induced decrease in p27^{Kip1} is accompanied by increasing Skp2 expression and p27^{Kip1} association with Skp2 in SKBR3/IGF-IR cells. After SKBR3/IGF-IR cells were treated or not with trastuzumab (10 µg/ml) in SFM for 16 hr, IGF-I (40 ng/ml) was added for a further 4, 8, 12 or 16 hr in serum-free conditions. (*a*) Expression of p27^{Kip1}, Skp2 and α -tubulin was determined by Western blotting. (*b*) p27^{Kip1} association with Skp2 complexes was assessed through immunoprecipitation.

of IGF-I as an attenuator of trastuzumab-induced accumulation of $p27^{\rm Kip1}.$

Finally, we examined the roles of the MAPK and PI3K pathways in the effects of IGF-I on Skp2 modulation. Trastuzumab reduced Skp2 levels. Consistent with the above results, PD98059 failed to influence the IGF-I-induced increase in Skp2, while LY294002 eliminated this increase (Fig. 7*b*). This provides evidence that the IGF-I-induced decrease in p27^{Kip1} is correlated with a PI3K pathway–dependent increase in IGF-I-induced Skp2.

DISCUSSION

Although trastuzumab has important activity against HER2/neupositive metastatic breast cancer,^{7–9} development of resistance to this agent is common clinically.^{8,9} Previous studies have shown that overexpression of HER2/neu is necessary but not sufficient to predict responsivity to trastuzumab.¹⁷ Our data support the view that the Cdk inhibitor p27^{Kip1} is a common downstream target of the HER2/neu and IGF-IR signaling pathways in breast cancer cells. We propose that each of these pathways acts in part by increasing the degradation of p27^{Kip1} through activation of the PI3K signaling pathway and that increased activation of IGF-IR can compensate for the loss of HER2/neu function induced by trastuzumab treatment. This could account for the observed attenuation of trastuzumab action under conditions where IGF-I signaling is increased.¹⁰

In view of the modern concepts of networks of interacting intracellular signaling pathways, as distinct from multiple nonin-teracting signal-transduction systems,^{27,39,40} we speculate that in-

FIGURE 6 – IGF-I enhances ubiq-uitin-mediated degradation of $p27^{Kip1}$ in SKBR3/IGF-IR cells. (a) After SKBR3/IGF-IR cells were treated in the presence or absence of trastuzumab (10 µg/ml) in SFM for 16 hr, IGF-I (40 ng/ml) alone or with LLnL (50 $\mu g/ml)$ was added at the times indicated (in hours) and $p27^{Kip1}$ and α-tubulin levels were determined by Western blot analysis. (b) After SKBR3/IGF-IR cells were treated or not with trastuzumab (10 µg/ml) in SFM for 16 hr, IGF-I (40 ng/ml) alone or with LLnL (50 µg/ml) was added for a further 12 hr. IGF-I-in-duced p27^{Kip1} ubiquitination was examined by immunoprecipitation of $p27^{Kip1}$ followed by immunoblotting with anti- α -ubiquitin MAb. The same blots were reprobed with anti-p27^{Kip1} MAb. Lane 1, control; lane 2, trastuzumab treatment; lane 3, trastuzumab treatment followed by IGF-I; lane 4, trastuzumab treatment followed by IGF-I and LLnL.

A







FIGURE 7 - IGF-I regulates p27Kip1 mainly through the PI3K pathway. After SKBR3/IGF-IR cells were preincubated with trastuzumab (10 μ g/ml), PD98059 (50 μ m) or LY294002 (15 μ m) was added for 16 hr under serum-free conditions. IGF-I (40 ng/ml) was added for a further 8 hr. (*a*) Phospho-MAPK, total MAPK, phospho-AKT and total AKT were determined by Western blot analysis. (*b*) p27^{Kip1}, Skp2 and α -tubulin levels were determined by Western blot analysis.

IGF-I

creased signaling at and downstream of the IGF-IR represents only one of several possible molecular mechanisms of trastuzumab resistance. Studies of paired clinical tumor specimens obtained prior to and after development of resistance to trastuzumab will be required to determine if a single mechanism predominates in the development of resistance in the clinic. This information will be

A



FIGURE 8 - Model of a mechanism by which IGF-I signaling attenuates trastuzumab-induced inhibition of breast cancer cell growth. Shaded area denotes signaling inhibited by trastuzumab. IGF-I signaling compensates for loss of HER2/neu-dependent PI3K activation. HER* indicates another member of the epidermal growth factor receptor family.

helpful in the design of novel approaches to delay or prevent trastuzumab resistance.

It has previously been shown that HER2/neu signaling reduces $p27^{Kip1}$ levels by enhancing $p27^{Kip1}$ degradation through ubiquitination.^{33,34} Furthermore, it is known that by interfering with HER2/neu receptor signaling, trastuzumab increases p27^{Kip1}, promotes p27Kip1 and Cdk2 association and inhibits Cdk2 activity.16-18 In our model system, IGF-I attenuated the increase in $p27^{Kip1}$ induced by trastuzumab in SKBR3 cells overexpressing IGF-IR. Furthermore, the decrease in $p27^{Kip1}$ by IGF-I is accompanied by an increase in Skp2 expression and an increase in Skp2– $p27^{Kip1}$ association. Skp2 has been identified as a ubiquitin ligase for p27^{Kip1} and is required for its ubiquitination and consequent degradation.36-38 LLnL, a highly specific proteasome inhibitor, completely blocked the ability of IGF-I to reduce the p27^{Kip1} protein level, which had been increased by trastuzumab pretreatment. Our data also show that IGF-I increases p27Kip1 ubiquitination, and the ubiquitinated p27Kip1 was markedly increased after blockage of the proteasome pathway by LLnL. These data suggest that IGF-I-induced antagonism of trastuzumab-induced \tilde{G}_1 arrest involves targeting of p27^{Kip1} to the ubiquitin/ proteasome degradation machinery. Consequently, IGF-I decreased the association of p27^{Kip1} with Cdk2, markedly increased Cdk2 activity and released cells from G₁ arrest, which had been suppressed by trastuzumab in this cell line.

The proteasome plays a central role in the degradation of key regulatory proteins of the cell cycle and has therefore become an important therapeutic target for diseases involving cell proliferation, notably cancer.⁴¹ Proteasome inhibition induces apoptosis, sensitizes cancer cells to traditional tumoricidal agents and overcomes drug resistance of cancer cells.42,43 Our data combined with these results provide a rationale for further examination of proteasome inhibitors as potential therapeutic agents, even for trastuzumab-resistant tumors.

The PI3K pathway is downstream of both HER2/neu and IGF-R signaling. Activation of this pathway promotes cell growth, survival and resistance to treatment.^{41,42} Deregulation of this pathway, by either gene amplification of PI3K or mutational loss of PTEN, is common in human cancers, including breast cancer.^{25,44,45} Although the PI3K pathway can phosphorylate forkhead transcription factors (AFX) and inhibit AFX-mediated transcription of p27Kip1, downregulation of p27Kip1 mRNA is not associated with growth factor-mediated cell-cycle progression29 and not often observed in human cancer.32 Instead, intracellular levels of p27Kip1 are highly regulated by 2 posttranslational mechanisms, ubiquitin proteasome-mediated degradation²⁹⁻³² and subcellular localization.46-48 The IGF-IR-mediated resistance to trastuzumab treatment predominantly involved the PI3K pathway, leading to enhanced degradation of p27Kip1

Finally, our data are consistent with the model shown in Figure 8. The PI3K pathway leads to increased p27^{Kip1} degradation. Both IGF-IR and HER2/neu can activate PI3K. Trastuzumab blockade of HER2/neu-induced PI3K signaling leads to growth inhibition in cells where HER2/neu is overexpressed, but this can be compensated for by increased IGF-IR signaling. It remains to be seen if, in general, the effectiveness of blockade of a particular tyrosine kinase receptor will clinically be limited by the activity of other receptors.

ACKNOWLEDGEMENTS

This work was supported by a grant to M.P. from the "Streams of Excellence" Program of the Canada Breast Cancer Research Initiative.

REFERENCES

1. Prenzel N, Fischer OM, Streit S, Hart S, Ullrich A. The epidermal growth factor receptor family as a central element for cellular signal transduction and diversification. Endocr Relat Cancer 2001;8:11-31. 2.

nalling mechanisms and therapeutic opportunities. Eur J Cancer 2001; 37(Suppl 4):S3-8.

Yarden Y. The EGFR family and its ligands in human cancer. Sig-

Yarden Y, Sliwkowski MX. Untangling the ErbB signalling network. 3 Nat Rev Mol Cell Biol 2001;2:127-37.

- Slamon DJ, Clark GM, Wong SG, Levin WJ, Ullrich A, McGuire 4. WL. Human breast cancer: correlation of relapse and survival with amplification of the HER-2/neu oncogene. Science 1987;235:177-82.
- Yarden Y. Biology of HER2 and its importance in breast cancer. Oncology 2001;61(Suppl 2):1–13. Neve RM, Lane HA, Hynes NE. The role of overexpressed HER2 in 5
- 6. transformation. Ann Oncol 2001;12(Suppl 1):S9-13.
- 7. Baselga J, Tripathy D, Mendelsohn J, Baughman S, Benz CC, Dantis L, Sklarin NT, Seidman AD, Hudis CA, Moore J, Rosen PP, Twaddell T, et al. Phase II study of weekly intravenous recombinant humanized anti-p185HER2 monoclonal antibody in patients with HER2/neu-overexpressing metastatic breast cancer. J Clin Oncol 1996;14:737-44.
- Baselga J. Clinical trial of Herceptin (trastuzumab). Eur J Cancer 2001;37:18–24. 8.
- Slamon DJ, Leyland-Jones B, Shak S, Fuchs H, Paton V, Bajamonde 9 A, Fleming T, Eiermann W, Wolter J, Pegram M, Baselga J , Norton L. Use of chemotherapy plus a monoclonal antibody against HER2 for metastatic breast cancer that overexpresses HER2. N Engl J Med 2001;344:783-92.
- 10 Lu Y, Zi X, Zhao Y, Mascarenhas D, Pollak M. Insulin-like growth factor-I receptor signaling and resistance to trastuzumab (Herceptin). J Natl Cancer Inst 2001;93:1852–7.
- 11. Albanell J, Baselga J. Unraveling resistance to trastuzumab (Herceptin): insulin-like growth factor-I receptor, a new suspect. J Natl Cancer Inst 2001;93:1830–2. Reiss K, Valentinis B, Tu X, Xu SQ, Baserga R. Molecular markers
- 12
- of IGF-I-mediated mitogenesis. Exp Cell Res 1998;242:361–72. Dupont J, Karas M, LeRoith D. The potentiation of estrogen on insulin-like growth factor I action in MCF-7 human breast cancer cells includes cell cycle components. J Biol Chem 2000;275:35893–901. 13.
- Jones SM, Kazlauskas A. Growth factor-dependent signaling and cell cycle progression. FEBS Lett 2001;490:110–6. 14.
- 15. Butler AA, Yakar S, Gewolb IH, Karas M, Okubo Y, LeRoith D. Insulin-like growth factor-I receptor signal transduction: at the interface between physiology and cell biology. Comp Biochem Physiol B Biochem Mol Biol 1998;121:19-26.
- Ye D, Mendelsohn J, Fan Z. Augmentation of a humanized anti-HER2 mAb 4D5 induced growth inhibition by a human-mouse chimeric 16. anti-EGF receptor mAb C225. Oncogene 1999;18:731-8.
- 17. Lane HA, Beuvink I, Motoyama AB, Daly JM, Neve RM, Hynes NE. ErbB2 potentiates breast tumor proliferation through modulation of $p27^{Kip1}$ -Cdk2 complex formation: receptor overexpression does not determine growth dependency. Mol Cell Biol 2000;20:3210–23.
- 18. Baselga J, Albanell J. Mechanism of action of anti-HER2 monoclonal antibodies. Ann Oncol 2001;12(Suppl 1):S35-41.
- Valentinis B, Baserga R. IGF-I receptor signalling in transformation and differentiation. Mol Pathol 2001;54:133–7. 19.
- Adams TE, Epa VC, Garrett TP, Ward CW. Structure and function of 20. the type 1 insulin-like growth factor receptor. Cell Mol Life Sci 2000;57:1050-93.
- 21. Yu H, Rohan T. Role of the insulin-like growth factor family in cancer development and progression. J Natl Cancer Inst 2000;92:1472-89.
- 22 Pollak M. Insulin-like growth factor physiology and cancer risk. Eur J Cancer 2000:36:1224-8.
- Khandwala HM, McCutcheon IE, Flyvbjerg A, Friend KE. The ef-23. fects of insulin-like growth factors on tumorigenesis and neoplastic growth. Endocrinol Rev 2000;21:215-44.
- Porter AC, Vaillan court RR. Tyrosine kinase receptor-activated signal transduction pathways which lead to oncogenesis. Oncogene 1998; 24 17:1343-52
- Vivanco I, Sawyers CL. The phosphatidylinositol 3-kinase AKT pathway in human cancer. Nat Rev Cancer 2002;2:489–501. 25.
- Sherr CJ, Roberts JM. CDK inhibitors: positive and negative regula-26
- tors of G_1 -phase progression. Genes Dev 1999;13:1501–12. Evan GI, Vousden KH. Proliferation, cell cycle and apoptosis in 27. cancer. Nature 2001;411:342-8.
- 28. Craig C, Wersto R, Kim M, Ohri E, Li Z, Katayose D, Lee SJ, Trepel

J, Cowan K, Seth P. A recombinant adenovirus expressing p27Kip1 induces cell cycle arrest and loss of cyclin-Cdk activity in human breast cancer cells. Oncogene 1997;14:2283–9.

- Millard SS, Yan JS, Nguyen H, Pagano M, Kiyokawa H, Koff A. Enhanced ribosomal association of p27^{Kip1} mRNA is a mechanism 29 contributing to accumulation during growth arrest. J Biol Chem 1997; 272:7093-8.
- 30. Durand B, Gao FB, Raff M. Accumulation of the cyclin-dependent kinase inhibitor p27Kip1 and the timing of oligodendrocyte differentiation. EMBO J 1997;16:306–17.
- Pagano M, Tam SW, Theodoras AM, Beer-Romero P, Del Sal G, Chau V, Yew PR, Draetta GF, Rolfe M. Role of the ubiquitin-31. proteasome pathway in regulating abundance of the cyclin-dependent kinase inhibitor p27. Science 1995;269:682–5.
- 32 Slingerland J, Pagano M. Regulation of the cdk inhibitor p27 and its deregulation in cancer. J Cell Physiol 2000;183:10–7. Yang HY, Shao R, Hung MC, Lee MH. p27^{Kip1} inhibits HER2/neu-
- 33. mediated cell growth and tumorigenesis. Oncogene 2001;20:3695-702.
- Yang HY, Zhou BP, Hung MC, Lee MH. Oncogenic signals of 34. HER-2/neu in regulating the stability of the cyclin-dependent kinase inhibitor p27. J Biol Chem 2000;275:24735–9.
- 35. Zi X, Grasso AW, Kung HJ, Agarwal R. A flavonoid antioxidant, silymarin, inhibits activation of erbB1 signaling and induces cyclindependent kinase inhibitors, G_1 arrest, and anticarcinogenic effects in human prostate carcinoma DU145 cells. Cancer Res 1998;58:1920–9. Carrano AC, Eytan E, Hershko A, Pagano M. SKP2 is required for
- 36 ubiquitin-mediated degradation of the CDK inhibitor p27. Nat Cell Biol 1999:1:193-9.
- Tsvetkov LM, Yeh KH, Lee SJ, Sun H, Zhang H. p27^{Kip1} ubiquiti-nation and degradation is regulated by the SCF(Skp2) complex through phosphorylated Thr¹⁸⁷ in p27. Curr Biol 1999;9:661–4. Nakayama K, Nagahama H, Minamishima YA, Matsumoto M, Na-37.
- 38 kamichi I, Kitagawa K, Shirane M, Tsunematsu R, Tsukiyama T, Ishida N, Kitagawa M, Nakayama K, et al. Targeted disruption of Skp2 results in accumulation of cyclin E and p27^{Kip1}, polyploidy and centrosome overduplication. EMBO J 2000;19:2069-81.
- Takuwa N, Takuwa Y. Ras activity late in G_1 phase required for $p27^{Kip1}$ downregulation, passage through the restriction point, and 39. entry into S phase in growth factor-stimulated NIH 3T3 fibroblasts. Mol Cell Biol 1997;17:5348–58.
- Blume-Jensen P, Hunter T. Oncogenic kinase signalling. Nature 2001; 40 411:355-65.
- Adams J. Proteasome inhibitors as new anticancer drugs. Curr Opin Oncol 2002;14:628-34
- Drexler HC. Activation of the cell death program by inhibition of proteasome function. Proc Natl Acad Sci USA 1997;94:855–60.
- Hideshima T, Richardson P, Chauhan D, Palombella VJ, Elliott PJ, 43. Adams J, Anderson KC. The proteasome inhibitor PS-341 inhibits growth, induces apoptosis, and overcomes drug resistance in human multiple myeloma cells. Cancer Res 2001;61:3071-6.
- 44. Cantley LC.The phosphoinositide 3-kinase pathway. Science 2002; 296:1655-
- Waite KA, Eng C. Protean PTEN: form and function. Am J Hum 45
- Genet 2002;70:829–44. Viglietto G, Motti ML, Bruni P, Melillo RM, D'Alessio A, Califano D, Vinci F, Chiappetta G, Tsichlis P, Bellacosa A, Fusco A, Santoro 46. N. Cytoplasmic relocalization and inhibition of the cyclin-dependent kinase inhibitor $p27^{Kip1}$ by PKB/Akt-mediated phosphorylation in breast cancer. Nat Med 2002;8:1136–44.
- Shin I, Yakes FM, Rojo F, Shin NY, Bakin AV, Baselga J, Arteaga 47. CL. PKB/Akt mediates cell-cycle progression by phosphorylation of $p27^{Kip1}$ at threonine 157 and modulation of its cellular localization. Nat Med 2002;8:1145-52.
- 48. Liang J, Zubovitz J, Petrocelli T, Kotchetkov R, Connor MK, Han K, Lee JH, Ciarallo S, Catzavelos C, Beniston R, Franssen E, Slingerland JM. PKB/Akt phosphorylates p27, impairs nuclear import of p27 and opposes p27-mediated G1 arrest. Nat Med 2002;8:1153-60.